#### On Resolving Ambiguous Anaphoric Expressions in Imperative Discourse

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# Setting the Stage



- Anaphors (e.g., pronouns) common in imperative discourse
- Goal: To resolve the real-world referent for "it" by selecting appropriate antecedent referring expression

#### Real-world is Complicated

#### Task-Oriented Dialogue Excerpt (Grosz 1978):

- (1) E: First you have to remove the flywheel.
- (2) A: How do I remove the flywheel?
- (3) E: First, loosen the two allen head setscrews holding it to the shaft, then pull it off.
- (4) A: OK.
- (5) I can only find one screw. Where's the other one?
- (6) E: On the hub of the flywheel.
- (7) A: That's the one I found. Where's the other one?
- (8) E: About ninety degrees around the hub from the first one.
- (9) A: I don't understand. I can only find one. Oh wait, yes I think I was on the wrong wheel.

- Multiple choices
- Recency not enough
- Shifting salience
- Syntax unhelpful
- Semantically empty

[1] Grosz, Barbara J. 1978. Focusing in Dialog. Proceedings of the 1978 Workshop on Theoretical Issues in Natural Processing, July 25-27, 1978

#### Let's Simplify: Enter Blocks World!



D1: "Pick up block1. Put it on block2. Pick up block3. Put it on block1."

D2: "Pick up block1. Slide block3 under it. Put it down."

#### Performance of State-of-the-Art Data-Driven Systems



Clark, K., and Manning, C. D., "Deep reinforcement learning for mention-ranking coreference models," EMNLP 2016.

	coref
	Mention 7
1	1 Pick up block1 .
	corefcoref
2	2 Put it on block2.
	coref
3	3 Pick up block3 .
4	Put it on block1 .

Stanford CoreNLP 2018

- At best: distinction between choices statistically insignificant
- At worst: incorrect resolution

# Key Contributions

- Characterize the general class of situated anaphora resolution problems
- Construct a proof-of-concept resolver using Answer Set Programming and Dempster-Shafer Theory
- Articulate domain-independent properties of the reasoners

# Our Approach

- Imperative discourse consists of speech acts that require the listener to perform (or at least simulate) a sequence of actions
- Anaphora resolution is the task of associating each action with parameters in a way that "makes sense"
- "Makes sense" from the perspective of mutual knowledge : information that the speaker and listener both have (Clark and Marshall 2002)
  - Agent's own capabilities
  - Expectations of its interactants
  - Normative expectations of the community

Clark, H. H., and Marshall, C. R. 2002. Definite reference and mutual knowledge. Psycholinguistics: critical concepts in psychology 414.

# Reasoning Modes

- Goal: Select object candidate that when paired with the specified action makes the most sense:
- Three "starter" reasoning modes answering questions of:
  - 1. Plausibility: *Can* the agent perform the <u>action</u> on (or with) an <u>object</u> candidate?
  - Speaker Intent: Is the speaker *intending* for the agent to perform the desired <u>action</u> on (or with) the <u>object</u> candidate?
  - 3. Normativity: **Should** the agent perform the <u>action</u> on (or with) the <u>object</u> candidate?

#### A Quick Note on Reasoning

- Ours is a form of commonsense reasoning
- Different from traditional AI notions of commonsense reasoning used in pronoun disambiguation problems
  - Winograd Schemas: Commonsense reasoning about timeless facts (e.g., whether trees are larger than toothbrushes)
  - Situated Anaphora Problems: Requires very specific and fluid situational information as well as implicit normative knowledge and social reasoning.

### Microtheories

- Microtheories implemented as answer set programs contain relevant knowledge and reasoning capabilities.
  - Initially, a microtheory is incomplete and only contains rules about a domain that it handles (e.g., social norms).
  - Microtheories can then be filled in real-time when situational facts become available
  - Once the microtheory is filled, a reasoner can iterate over different object candidates
- Output from reasoners (uncertain evidence for various object candidates) are combined together

## Implementation Choices

- Why Answer Set Programming: non-monotonic reasoning, choice rules, negation-as-failure and classical negation, cardinality constraints, incremental logic programming, and declarative specification
- Why Dempster-Shafer Theory: extends Bayesian theory, useful for set-valued random variables, no assumptions of priors needed, fuse evidence from heterogeneous sources

### Consultant Architecture



## Resolving with Uncertainty

- Each ambiguous pronoun has a set of object candidates (e.g., O = {block1, block2, block3})
- Reasoners provide DS-theoretic masses over these objects.
  - In DS-theory, unlike Bayesian theory, the masses of each object in O need not sum to 1. The sum of the masses of the power set 2<sup>0</sup> must be 1.
- For each reasoner uncertainty (i.e., masses) comes from the proportion of stable models that reference the object candidate against all those that reference the action verb

#### Domain-Independent Aspects

- All micro theories have a common structure (Generate-Define-Test)
- For imperatives, the crucial relationship is between the *action verb* and the *object (or pronoun).*
- Each reasoner is defined by the specific relation between the action verb, a, and object variable, O
  - Plausibility reasoner: occ(a(O),t)
  - Normativity reasoner: *has(a, permissible, O)*
  - Speaker Intent reasoner: *has(a,* speaker\_intends, *O)*
- Generally, facts and definitions in the micro theories have general forms specified by is(X, Y) and has(X, Z, Y) syntax.

## Proof-of-Concept

- Microtheories generalized over the following examples:
  - 1. "Pick up the knife. Cut the tomato. Put it down."
  - [washing dishes/cooking]
    *"Pick up the knife. Cut the tomato. Pass it to me"*
  - [bowl contains food]
    *"Pick up the knife. Cut the tomato.* Put it in the bowl"



See paper for ASP code and implementation details

## Future Work

- Integration onto the NL pipeline of a robotic architecture, e.g., with Williams (2016)
  - Abstract object representation in an ASP program allows for multimodal information integration.
- Formalizing the syntax and semantics of a high-level microtheory language
- Exploring cases when additional reasoning modes are needed
- Integration with data-driven systems
  - Learning Microtheories: Using data-driven approaches to learn the domain-independent rules in the micro theories

Williams, T., and Scheutz, M. 2016. A framework for resolving open-world referential expressions in distributed heterogeneous knowledge bases. In Proceedings of the 30th AAAI Conference on Artificial Intelligence.

## Takeaways

- Anaphora (and reference) resolution can require reasoning about situational and embodied knowledge
- We consider the case of disambiguating the pronoun "it" in imperative discourse
- Resolving "it" requires reasoning about the plausibility, normatively and speaker intent associated with the action verb and objects in the discourse context
- We propose a consultant framework and proof-of-concept system for reasoning under uncertainty about object candidates
- We have only scratched the surface and argue for potentially fruitful research direction with practically and theoretically significant implications

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# Thank you! Questions?

#### Mission transcript for NASA Gemini V (1965)

00 01 41 10	CC	Gemini-5, this is Houston here. We still haven't received the Command Pilot blood pressure.
00 01 41 15	Р	He was having a little trouble getting it in. He's got it in now and he's pumping it up.
00 01 41 21	CC	Okay, very good.